



# Intro to Software Processes

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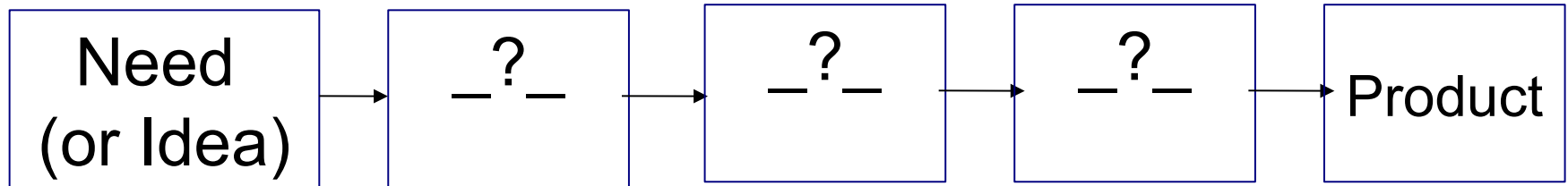
and the Software Development Life Cycle

# Goal of Software Development



Produce a software product that fulfills a need or realizes an idea.

# What are the Steps?



What are the major steps or **activities** you would need to do?

List major **activities** that would apply to almost any software project.

# Activities in Software Development

Not necessarily in the order they are performed.

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

# Activities

## Creating software involves

- elicit requirements
- analysis & specification
- design
- construction & testing
- validation
- documentation
- maintenance
- enhancement

## Managing the project involves

- planning
- obtaining resources
- tracking progress
- resolving problems
- analyzing results
- closing the project

# Process

Process -

a [systematic] series of actions to achieve a particular result

Software process - a method for producing software

# Software Process according to experts

*A software process is a sequence of **activities** that leads to production of a software product.*

-- Ian Sommerville, *Software Engineering*, 9 Ed.

...a collection of **activities**, **actions**, and **tasks** that are performed to create [software].

-- Roger Pressman,  
*Software Engineering: A Practitioner's Approach*, 7 Ed.

# Do You Have a Software Process?

What is your software process?

(discussion)

What did you do to create:

- Programming 2 project?
- Exceed Camp project?



# Do You Have a Software Process?

**Yes!**

Everyone who creates software uses a process.

# Do You Have a Software Process?

***"I never thought about it"***

,,, the process is *implicit* or *informal*

***"It's different for each project"***

... *ad hoc* process

Why Define a Software Process?

Why not *just do it?* (like Nike)

# Realities of Software

Software projects are plagued by **defects**, **over-budget**, **schedule overrun**, and **complete failure**.

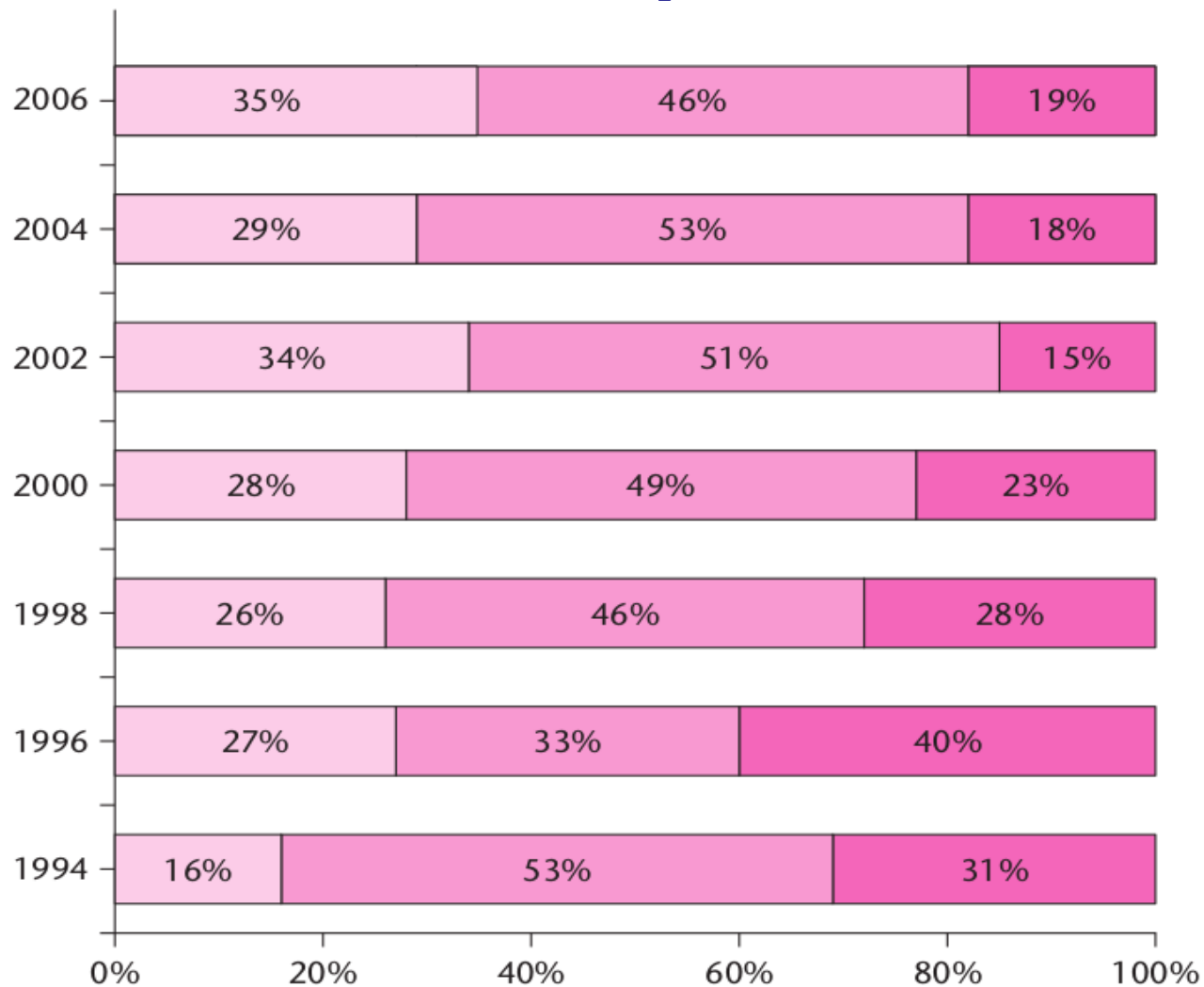
Why?

1. **Change** can occur almost any time in a project.
2. Software is **complex**.
3. Software must **evolve** over time (more change)
4. **Communication** problems
  - between developers and customer
  - within development team
  - implicit assumptions are often not true

# Common Project Outcomes (failures)

1. Project is late and over-budget.
2. Software does not do what customer wants.
3. Excessive defects.
4. Project is canceled.

# Software Project Failure over Time



- Completed on time and within budget
- Late, over budget, or with features missing
- Canceled before completion

*Stanish Group annual CHAOS report*

# Britain Abandons NHS IT Project

After 10 years and 11 Billion pounds (450,000,000,000 Baht), the British government **abandoned** a huge IT project for the National Health System (NHS) in 2011.

Some components continue to be developed, but they are all **late** and **over-budget**.

## Why? What Happened?

<https://www.henricodolfing.com/2019/01/case-study-10-billion-it-disaster.html>

<https://www.computerweekly.com/opinion/Six-reasons-why-the-NHS-National-Programme-for-IT-failed>

# Microsoft Windows Critical Flaws

In 2020, Microsoft set **new records** for the number of critical vulnerabilities disclosed & patched *each month*.

Microsoft programmers have been working on Windows code for almost **20 years**.

...but Windows still contains **thousands** of (unknown) critical vulnerabilities.

**Why?**

*\* Assuming Windows 7 as the start for current code base*



# Causes of Project Failure

1. Poor communication.
2. Unclear requirements.
3. Excessive **change** in requirements.
4. Unwillingness to accept change.
5. Not monitoring ***actual progress*** regularly.
6. Unrealistic schedule or budget.
7. Forced deadlines.
8. Insufficient developer skills.



process  
related

# Benefits of a Defined Process

- **Save Time** - don't rediscover how to perform each project
- **Enable Planning** and **Tracking**
- **Basis for Estimation** - you collect data for each activity and task from previous projects and learn
- **Repeatable** results
- **Process Improvement** - it must be defined before you can examine and improve it

# 4 Factors in Development Speed

## 1. **People**

ability, knowledge, skills, motivation

## 2. **Process**

promotes effective work or hinders it

helps team stay on track? quality focus?

## 3. **Product**

Size and characteristics, nature of requirements

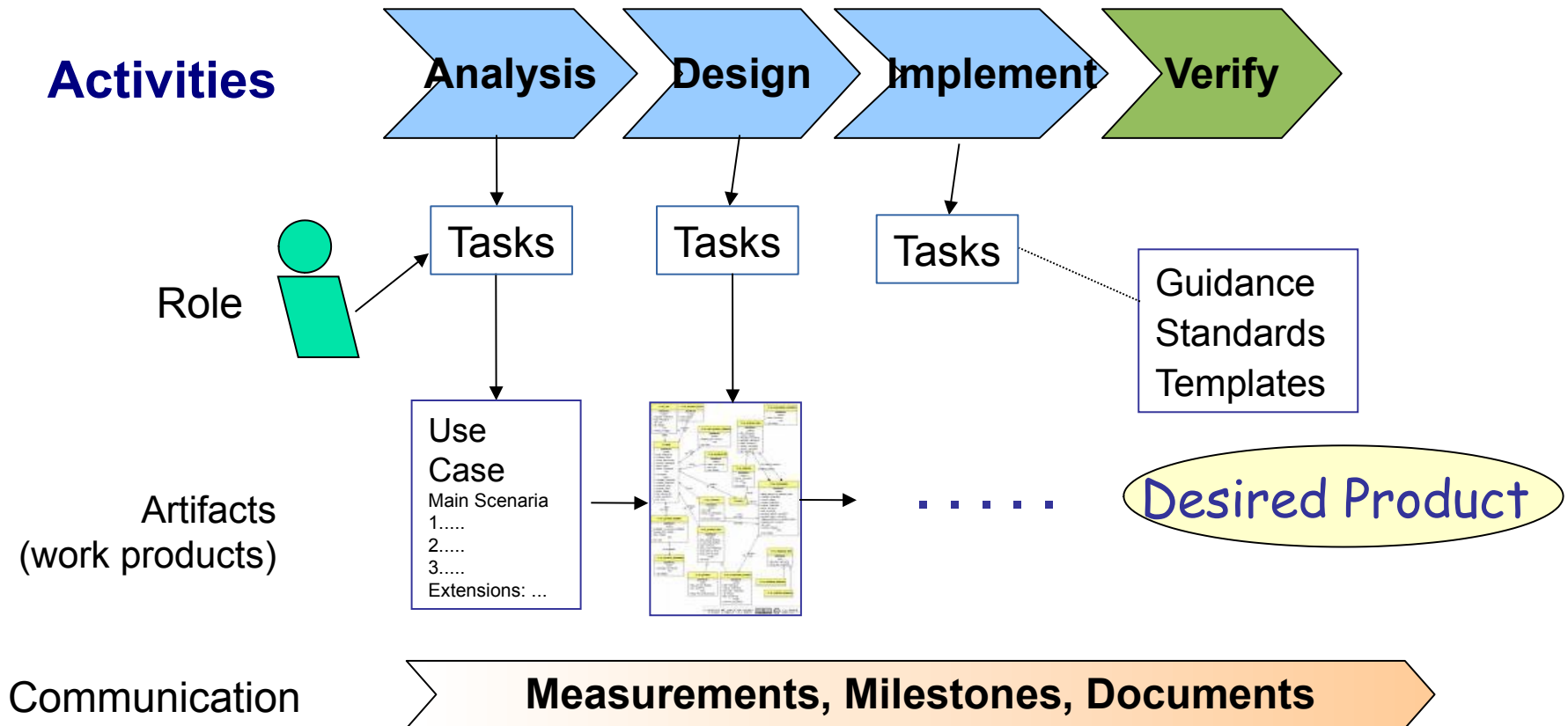
## 4. **Technology**

Language and software frameworks

Tools

# Software Process Model

Process consists of **activities**



# Activities

**Activities** are large(r) scopes of work. They may be general things that occur repeatedly.

Major activities:

- requirements specification
- modeling & design
- construction
- validation
- deployment

*[Major activities listed by Sommerville & Pressman.]*

# Tasks

Activities are large and general.

Activity is broken down into concrete **tasks**.

Some **tasks** during **Construction**:

- iteration planning
- backlog selection & estimation
- detail design
- coding
- unit testing
- integration testing

# Activity May Subdivide into 2 Levels

In Pressman, an activity consists of **actions** divided into **tasks**.

**Activity:** Construction

**Action:** *iteration (or sprint) planning meeting*

**Tasks:**

- review & prioritize items in product backlog
- select items for this iteration (sprint)
- estimate time for each item
- define a "done" criterion (acceptance test) for each
- software design to implement the items

# How to do it? What to produce?

"Activities", "actions", and "tasks" should make *progress toward finishing* the project.

## *What to do?*

A task has a description & guidance

## *What is the result?*

Every task should have an output -- a work product

## *Is the work correct?*

Define how to evaluate the work product



# Example Task

**Title:** Add Item to Cart

**Priority:** High **Est:** 8 hr

## Description:

When a visitor navigates to item detail page, there is an "*Add to Cart*" button on the page. When visitor clicks "Add to Cart", a unit of the item is added to his shopping cart.

## Acceptance Criteria

*Given* that user is viewing an in-stock item

*When* he clicks "Add to Cart"

*Then* the item is added to his shopping cart.

When navigate to "My Cart" page, the item, with quantity and price, are shown.

# Common Process Models

# Code and Fix

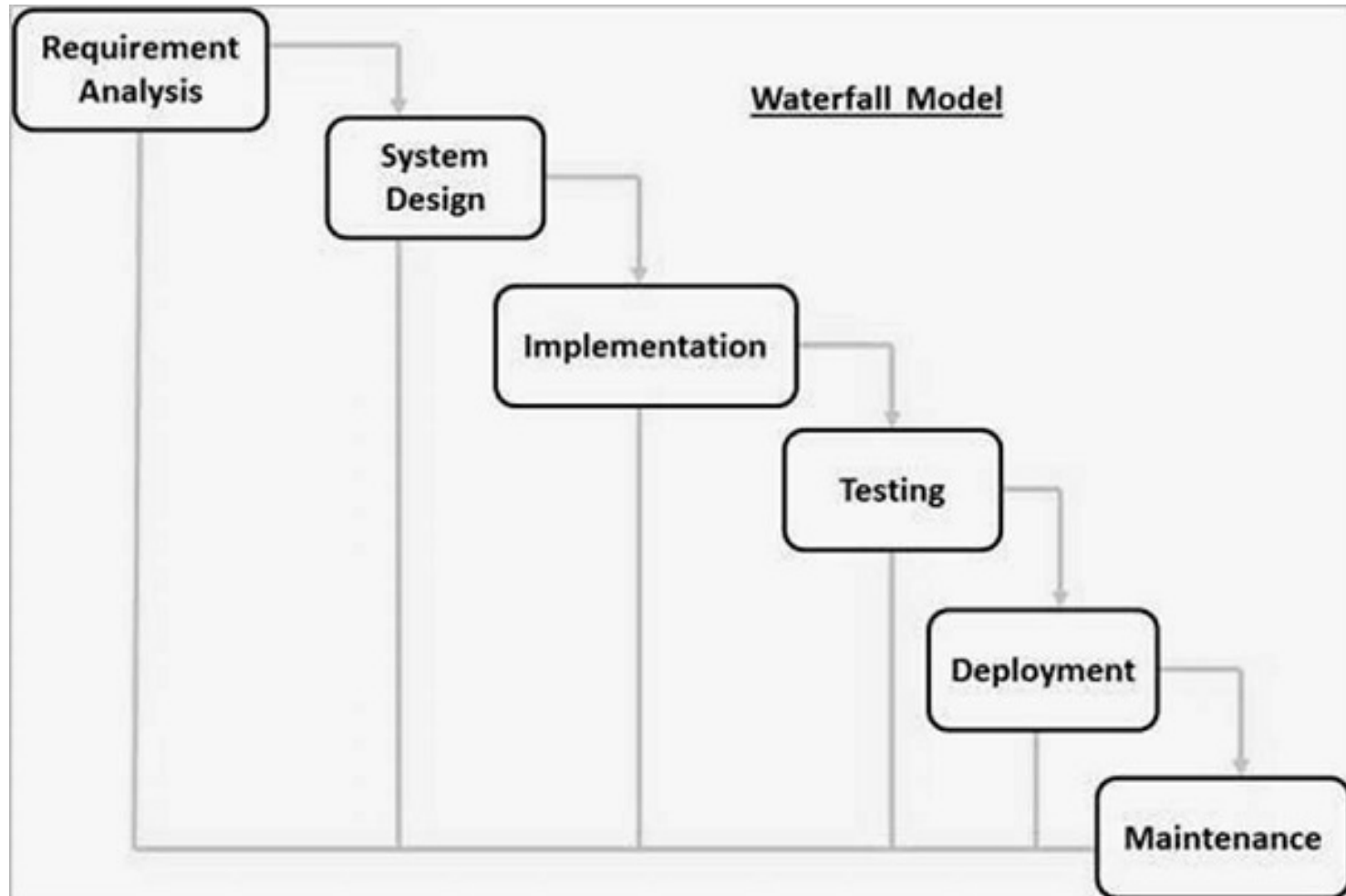
- The most common software development process
- Little or no planning and design.

1. think about the problem, write ideas on paper
2. start coding
3. run it. fix the code.
4. add another feature. As code grows I need to rewrite some parts to support each new feature.
  - modify the code for new feature
  - goto step 2.

*My software process since high school*

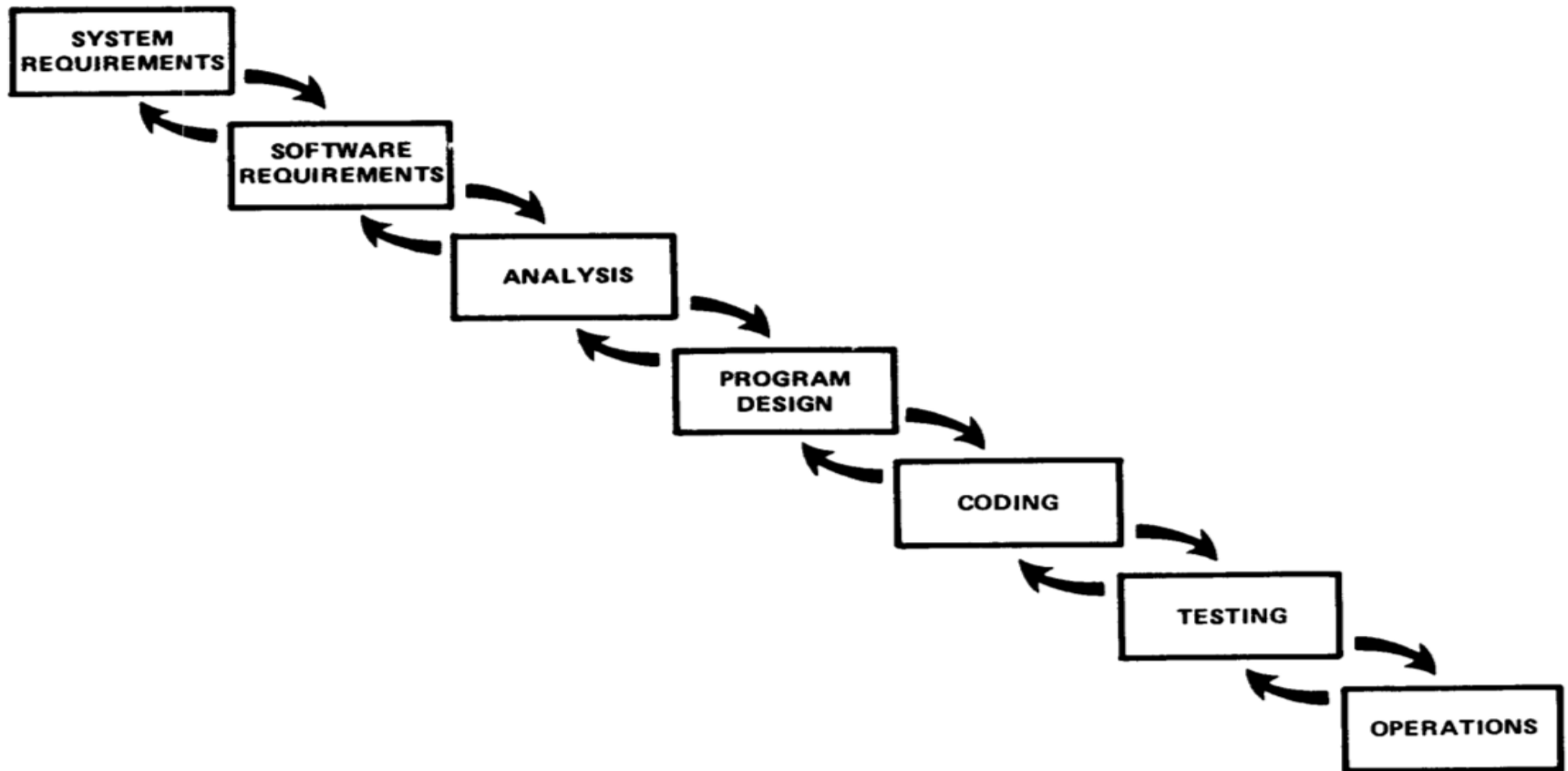
# Do the activities in order

Similar to a civil engineering project.



*(This is a typical diagram of the waterfall model.)*

# The Original Waterfall Model



Winston Royce, *Managing the Development of Large Software Systems* (1970)

Waterfall is still widely used.

# What Could Go Wrong?

# Problems with Waterfall

What would be the effect on project if ...

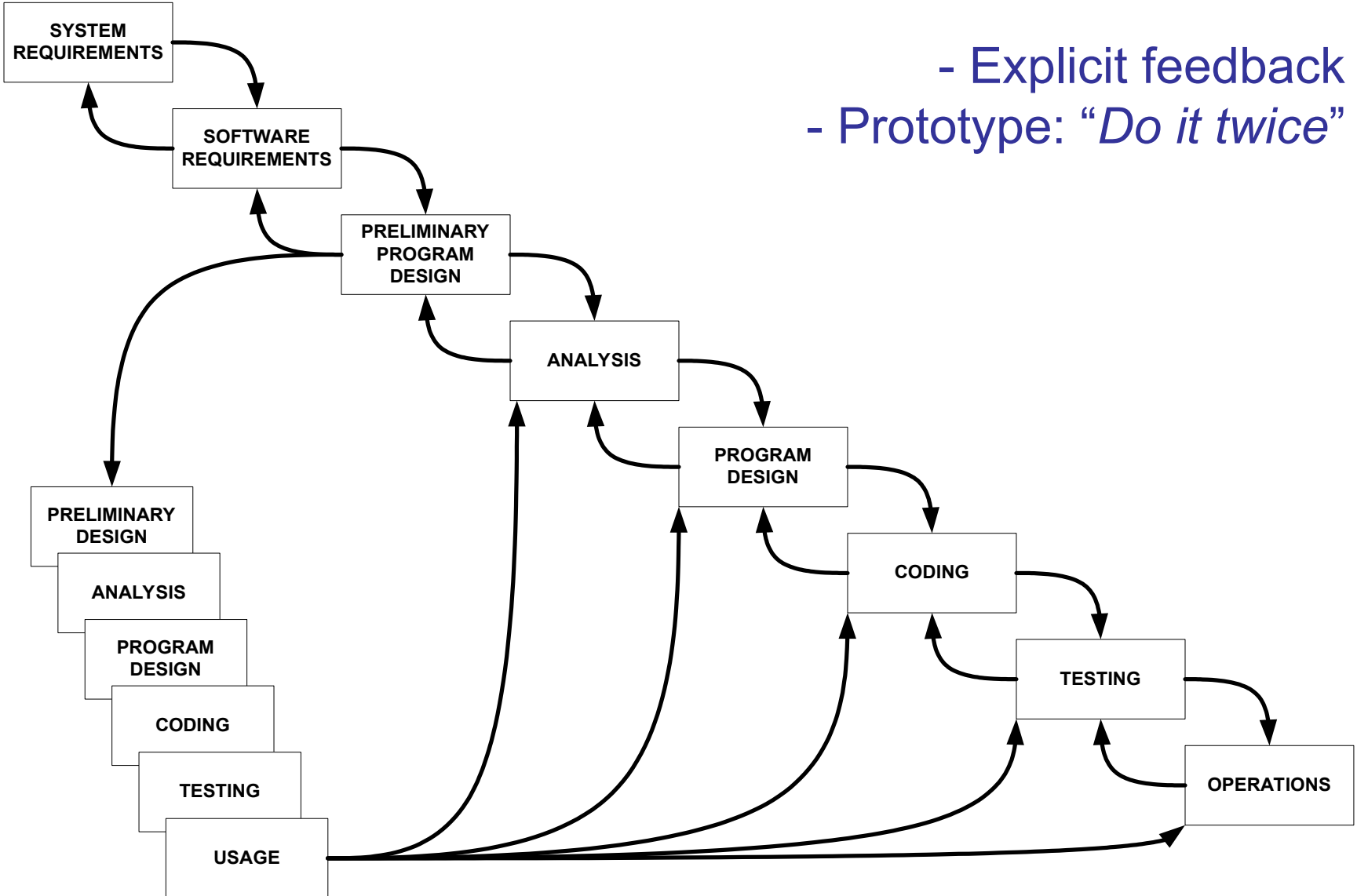
1. You miss some requirement(s).
2. You misunderstand a requirement, so the design is not what the customer wants.
3. The solution you design can't meet the requirements.
4. Coding takes a lot longer than expected.
5. Testing discovers a lot of defects in the code.

# How to Avoid These Problems?

- *Early Feedback*
- *Early Testing*
- *Continuously review* actual versus planned progress
- *Involve customer* at key points during project
- *Incremental delivery* of functionality -- get feedback.
- *Analyze* results and take corrective action



# Royce Waterfall Model with Prototype



- Explicit feedback

- Prototype: “*Do it twice*”

# Project Phase = Process Activity

In Waterfall, major **activities** are *phases* of the project...



Requirements **phase**



Analysis **phase**



Design **phase**



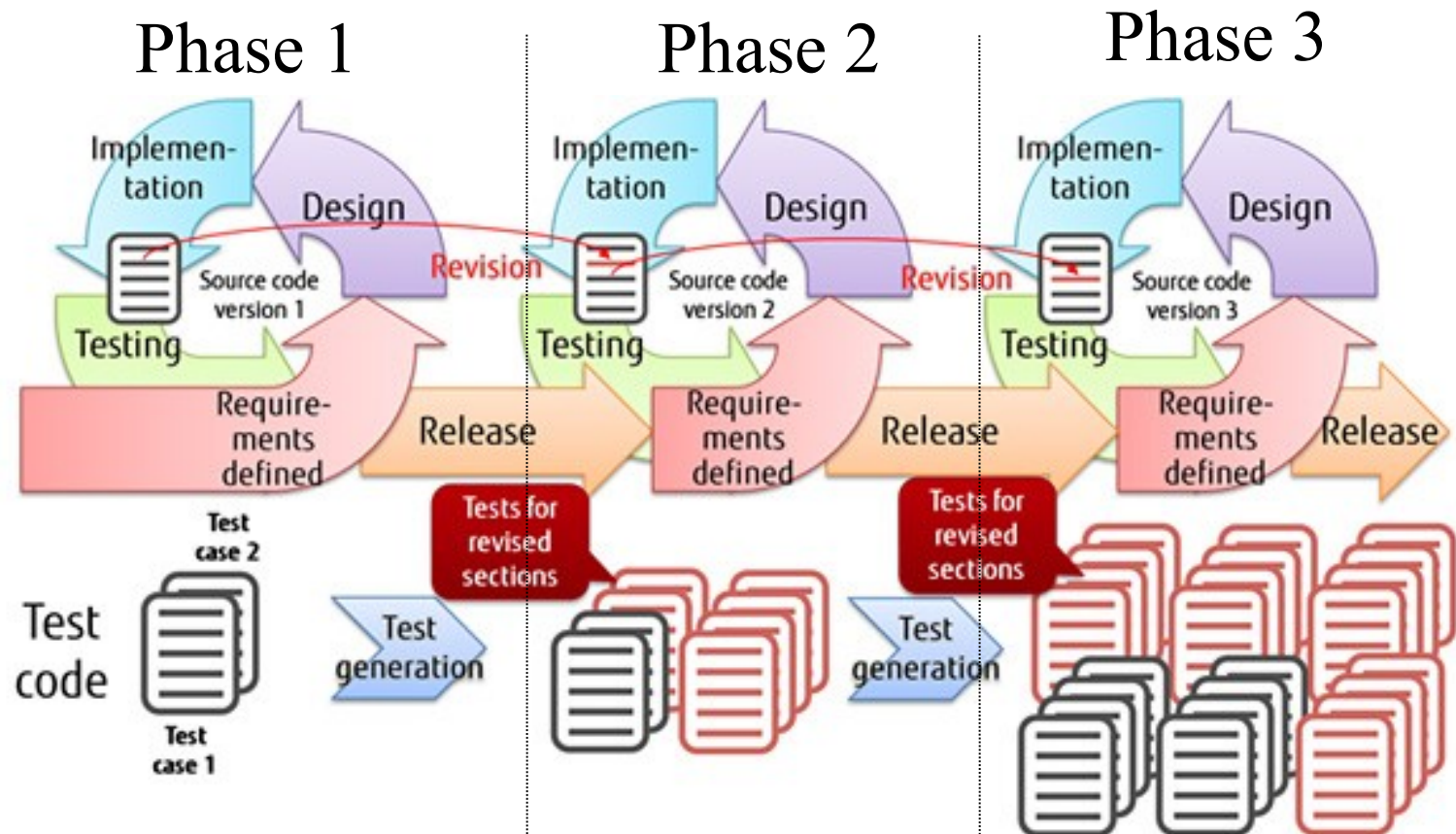
Construction **phase**

...

# Iterative and Incremental

Let's not build the whole product at once.  
Build a useful part (subset), evaluate it, and repeat.

## Activities $\neq$ Phases



# Iterative and Incremental

**Incremental** - product divided into increments.

Each increment adds **new features** and produces a **usable product**.

**Iterative** - iterate over the (almost) same activities for each product increment.

*You may have many iterations  
to produce one increment.*

# Benefits

1. Rapid delivery of value to customer - he can try the features you have implemented.

*What are other benefits of iterative & incremental?*

*Consider:*

*feedback*

*detecting problems in design or implementation*

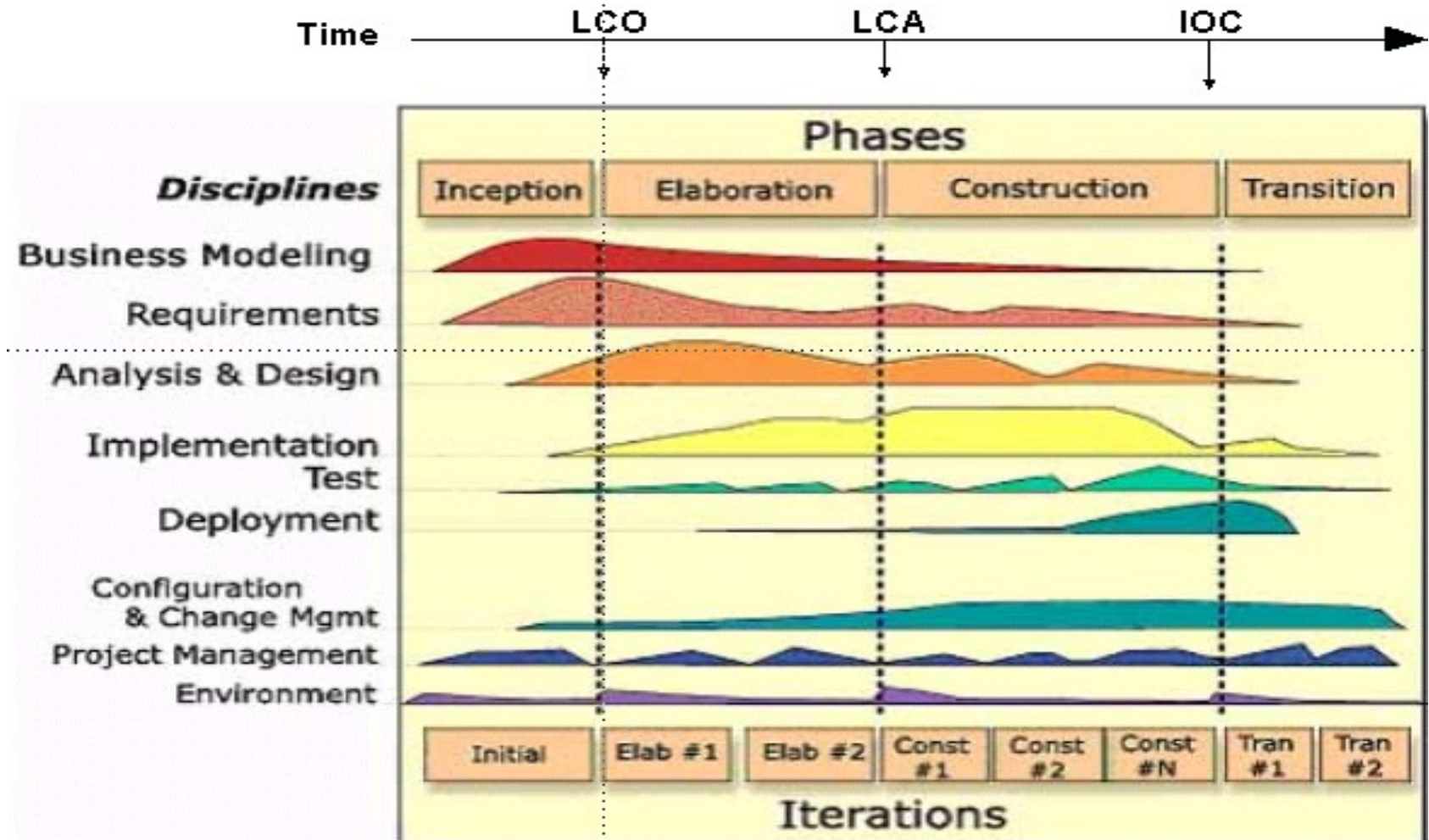
*monitoring progress & deviation from schedule*

*effect of change*

# Unified Software Dev't Process (U.P.)

**Workflows** (disciplines) for different kinds of activities.

**Phases**: major divisions of project. Each has iterations.



# UP is an Iterative Process **Model**

The diagram *conveys a lot* about UP...

- **workflows** (activities) are done in parallel
- "phases" for major evolutions of the project
- **iterations** within each phase, as needed

# Characteristics of UP

- **Time-boxed** iterations
- **Plan based**, but adapts to change
- "Architecture centric"
- Identify **risks** & address them **early**
- Order requirements based on **business value, architecture, & risk**
  - handle risky requirements early
  - implement requirements that have big impact on the architecture
- UP is a "**framework**" for a process -- tailor it to your project

UP is covered in *SKE Software Spec and Design* course.



# Agile

Agile is not a software process

Agile is a **mindset**, collection of **values**, and **practices** that reflect those values.

Agile & Scrum are covered later

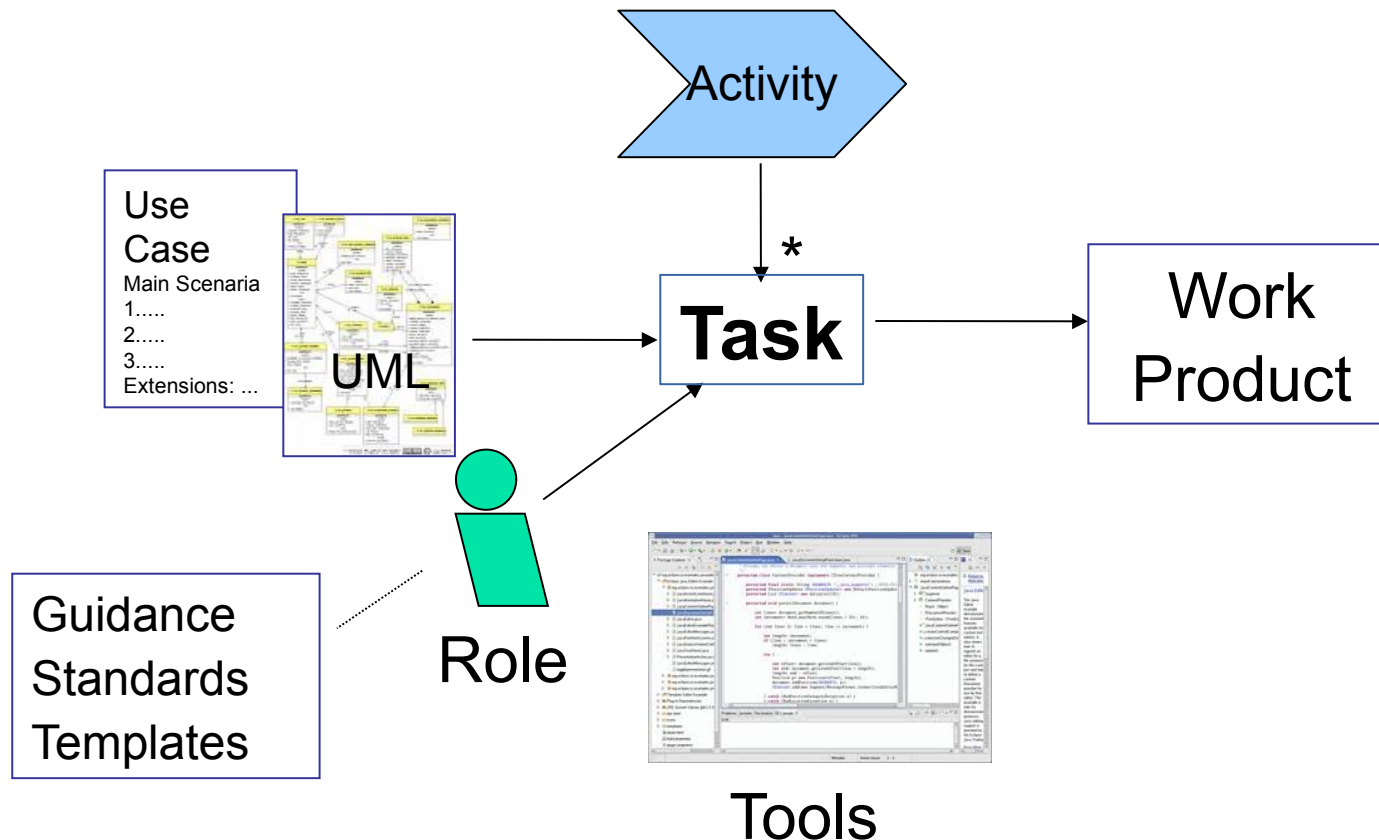
# What About Individual Process?

This is a course about individual process.

What is that?

# The Individual

**People** apply a process, use tools, technology, & guidance, to create the work products.



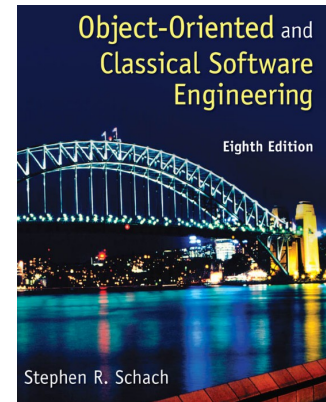
# Problem of Teaching Software Process

1. We learn on *small, one-semester* projects.
2. Projects often succeed based on heroic effort or super-programmers.
3. Programs aren't deployed or supported.
4. We are still learning, so process seems awkward.
5. We have many courses -- different environment from full-time developers
6. Outcome is a grade, not a paycheck or bonus

# Reading

These are highly regarded books about *Software Engineering*. Each has a chapter or two on software process.

- Ian Sommerville, *Software Engineering*, 10th Ed.
- Stephen Schach, *Object-oriented & Classical Engineering*, 8th Ed.
- Roger Pressman, *Software Engineering: A Practitioner's Approach*.

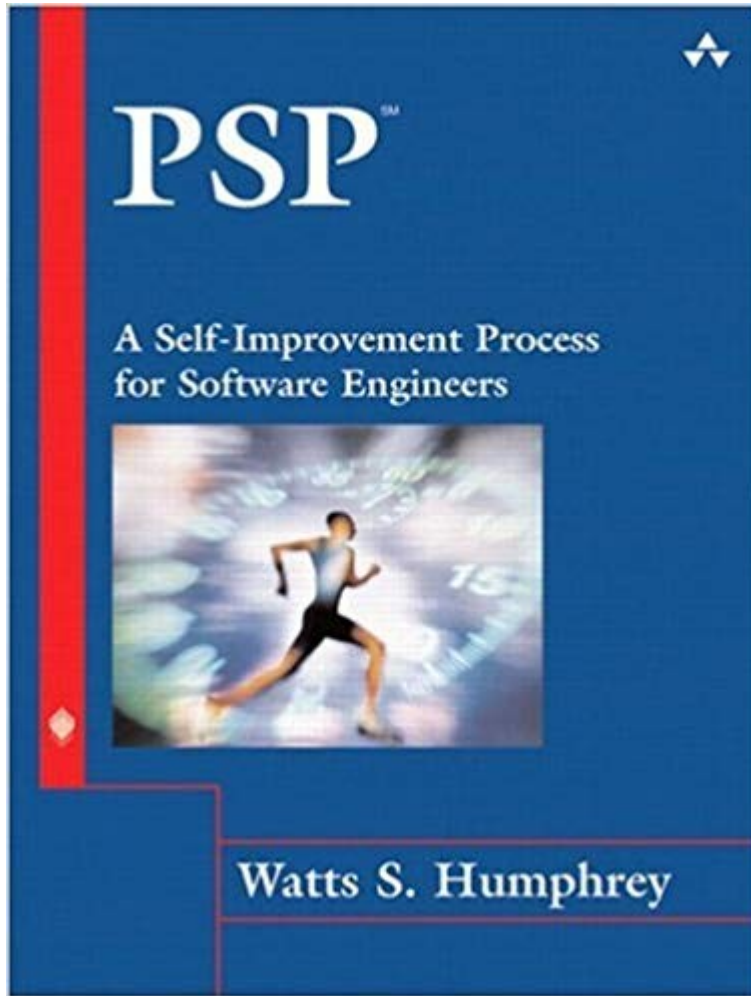




# Historical Material

...for the curious

# Original Syllabus: Personal Software Process



Step-by-step course to build a personal process for:

planning

defect tracking

estimation

measuring quality & efficiency

evaluation

process improvement



# Goals of PSP

Objective: provide a disciplined process for SEs to manage their own work

- improve estimation and planning skills
- reduce defects in their products
- manage their own schedule & work quality
- improve their own software process

# PSP progress through levels

**PSP0:** [baseline] measure time you spend on planning, design, coding, test, and *post mortem* (retrospective)

**PSP0.1:** measure output LOC. Add a coding standard and process improvement proposal (PIP).

**PSP 1.0:** Estimate program size using level 0 data. Make a test plan.

**PSP 1.1:** Add planning. Estimate time from program size.

**PSP 2.0:** Add design & code review. Emphasis on defect removal and prevention.

**PSP 2.1:** Add design specification.

**PSP 3:** Apply an iterative process to PSP2.1.

# PSP Tools and Support

PSP emphasizes use of **scripts**, **forms**, and **checklists** to guide the user. These are included in course.

A useful tool is **Process Dashboard** (Sourceforge).

- performs time tracking. Automates some reporting.
- includes the PSP scripts and forms, and generates reports
- *can be used for other processes!*